# Shuze Chen

# **Research Interests**

My research focuses on enhancing data-driven decision-making in complex real-world operational environments, using tools from **Reinforcement Learning**, **Experimentation** and **Online Learning**.

# Education

#### Shanghai Jiao Tong University

Undergraduate in Computer Science

- GPA : 4.1/4.3, Ranking : 1/17
- Member of John Hopcroft Honors Class, an elite CS program at SJTU for top 5% of students, with a focus on Theoretical Computer Science.

# **Research Experience**

#### Efficient Experimentation for Long-term Causal Effects

- Advisor: Prof. David Simchi-Levi, MIT Data Science Lab
  - Systematically modeled and analyzed the experimentation problem for long-term causal effects in dynamic service systems by incorporating the Markov Decision Process (MDP) framework.
  - Developed asymptotically normal estimators for A/B testing on MDPs with general treatments and proved their asymptotic efficiency.
  - Proposed the Information Sharing (IS) technique and demonstrated how it leads to almost linear variance reduction with the number of test arms.

#### Entanglement in Multi-agent Reinforcement Learning

Advisor: Prof. Tianyi Peng, DRO, Columbia Business School

- Investigated a generic policy evaluation problem in (coupled) multi-agent dynamic systems.
- Invented a novel theoretical framework called Markov Entanglement to study local value decomposition. This framework is inspired by Quantum Entanglement in quantum physics.
- Demonstrated that Markov Entanglement is a sufficient and necessary characterization for exact local value decomposition.
- Proposed how to mathematically quantify the degree of entanglement for coupled multi-agent systems and proved that the value decomposition error under general policies is bounded by the measure of Markov Entanglement.
- Solving Extensive-form Games with Linear Function Approximations

Advisor: Prof. Shuai Li, John Hopcroft Center, Shanghai Jiao Tong University

- Designed algorithms for imperfect-information extensive-form games (IIEFGs) with linear function approximations, and presented provably efficient convergence to Nash Equilibrium.
- Proposed a preprocessing technique for strategic parameter selection, achieving empirically improved convergence rate while maintaining worst-case performance guarantees.

# Preprints

- 1. Experimenting on Markov Decision Processes with Local Treatments with David Simchi-Levi and Chonghuan Wang. https://arxiv.org/abs/2407.19618
- 2. Towards provably efficient learning of extensive-form games with imperfect information and linear function approximation

with Canzhe Zhao, Weiming Liu, Haobo Fu, Qiang Fu, Shuai Li. In Submission.

Shanghai, China Sep. 2021 - present

Feb. 2024 - Present

July 2023 - Nov. 2023

Jan. 2024 - Present

# ACADEMIC PROJECTS

- JaxRL: A pure Jax implementation of state-of-the-art deep reinforcement learning algorithms like PPO, TD3, SAC, DQN etc.
  - Integrated Just-in-Time Compilation and pure function to accelerate training for deep reinforcement learning.
  - $\circ\,$ Benchmarked on popular deep reinforcement learning environments like OpenAI Gym, Mujoco and Minigrid.
- **SEAL Compiler**: Engineered a compiler from scratch for a C-like programming language SEAL.
  - A complete implementation of a modern compiler, from lexer and parser to assembly code generator, capable of running on Qemu RISC-V Simulater.
  - $\circ~$  Implemented optimizations like tree-based register allocations and constant folding etc.

# HONORS AND AWARDS

| • National Scholarship of China (Top $0.2\%$ nationwide)                                   | 2021-2022    |
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| • Fan Hsu-Chi Scholarship (15 winners each year, Shanghai Jiao Tong University) 2022-202   | 3, 2023-2024 |
| • Han-Ying-Ju-Hua Scholarship (15 winners each year, Shanghai Jiao Tong University)        | 2022-2023    |
| • Zhiyuan Honorary Scholarship (Top 5%, Shanghai Jiao Tong University) 2021-2022, 2022-202 | 3, 2023-2024 |
| • A-class Academic Excellence Scholarship (Top 1%, Shanghai Jiao Tong University)          | 2021-2022    |
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# INVITED TALK

• "RL for Ride-haling—On the Markov Entanglement", INFORMS Annual Meeting 2024

### SKILLS SUMMARY

- Programming Languages: C/C++, Python
- Frameworks: Pytorch, Jax